



STATE BANK OF INDIA, HONG KONG

To: State Bank of India Hong Kong/ Kowloon Branch

Self-Certification Form (Individual) for FATCA

To comply with the US Foreign Account Tax Compliance Act ("FATCA") which is effective starting 1 July 2014, this certificate is to be used by new account's applicants and account holders (individual) to declare whether the person is a U.S. person under the "FATCA" enacted in the United States on or after the effective date of FATCA.

Customer Name (Please complete in English Block Letter)
Identification Document Type [] HKID Card [] Passport [] Other
Document No.
Place of Birth
Date of Birth

Declaration of Tax Residency

I hereby confirm that I am, for tax purposes, resident in the following countries (indicate the tax reference number type and number applicable in each country):

Table with 3 columns: Country/countries of tax residency, Tax reference number type, Tax reference number

I am a U.S. person (including a U.S. Resident / U.S. Citizen / U.S. Permanent Resident / U.S. Green Card Holder / U.S. Resident Alien) # [] Yes [] No

If you tick "Yes" to the question, please provide your U.S. Taxpayer Identification No. (TIN):

U.S. Taxpayer Identification No. grid with dashes

If you were born in U.S. but in this form you declare that you are not a U.S. person, please also provide us with a Certificate of Loss of Nationality of the United States.

Declaration

I hereby confirm the information provided above is true, accurate and complete. I undertake to notify the Bank within 30 calendar days if there is a change in any information which I have provided to the Bank.

Subject to applicable local laws, I hereby consent for State Bank of India Hong Kong Branch or any of its local or oversea subsidiaries (collectively "the Bank") to disclose, report, or share my information with local and overseas regulators or tax authorities where necessary to establish my tax liability in any jurisdiction.

Where required by local or overseas regulators or tax authorities, I consent and agree that the Bank may withhold from my account(s) such amounts as may be required according to applicable laws, regulations and directives.

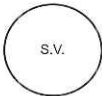
I undertake to fully cooperate with the Bank to ensure it meets its obligations under applicable laws, regulations and directives in connection with my account(s).

The following certification is applicable for a U.S. person (i.e. you have ticked "Yes" in the relevant question above) only:

Under penalty of perjury, I certify that:

- (i) The number shown on this form is my correct U.S. Taxpayer Identification Number ;
(ii) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the U.S. Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the U.S. IRS has notified me that I am no longer subject to backup withholding ; and
(iii) I am a U.S. citizen or other U.S. person.

For a U.S. person, you are required to provide the certifications contained in the above paragraph. The U.S. IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholdings.



Customer's Signature:

Date (MM-DD-YYYY):

Please specify an account number with the Bank to which the above signature is applicable: